



March 8, 2019

VIA ELECTRONIC MAIL

FOIA/PA Request
FOIA and Transparency
Department of the Treasury
Washington, DC 20220
treasfoia@treasury.gov

Re: Freedom of Information Act Request

Dear Freedom of Information Officer:

Pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, and your agency's implementing regulations, American Oversight makes the following request for records.

A recent report by the House of Representatives Committee on Oversight and Reform details how senior White House officials in the early days of the Trump administration worked outside standard policymaking processes to advance a nuclear exports plan involving sharing nuclear technology with Saudi Arabia.¹ The report highlights serious conflict-of-interest concerns and extensive undue influence from officials outside government. It also notes that President Trump reportedly held a meeting on the topic of sharing nuclear technology with Saudi Arabia as recently as February 12, 2019.

American Oversight seeks records to shed light on the degree and nature of outside influence on national security matters related to nuclear proliferation.

Requested Records

American Oversight requests that the Department of the Treasury (Treasury) produce the following within twenty days:

All records reflecting communications (including email messages, email attachments, calendar invitations, talking points prepared for meetings, handwritten or typed meeting notes, or memos exchanged during meetings) with any of the following individuals:

- a. Tom Barrack

¹ Interim Staff Report, Committee on Oversight and Reform, *Whistleblowers Raise Grave Concerns with Trump Administration's Efforts to Transfer Sensitive Nuclear Technology to Saudi Arabia*, U.S. HOUSE OF REPRESENTATIVES, Feb. 2019, <https://oversight.house.gov/sites/democrats.oversight.house.gov/files/Trump%20Saudi%20Nuclear%20Report%20-%202-19-2019.pdf>.



- b. Rick Gates
- c. General Keith Alexander
- d. General Jack Keane
- e. James Cartwright
- f. Dennis Ross
- g. Robert “Bud” McFarlane
- h. Rear Admiral Michael Hewitt
- i. Stuart Solomon
- j. Stephen Solomon
- k. Alan Dunn
- l. Eric Olson
- m. Frances Fragos Townsend
- n. Lars Butler
- o. Michael Wallace
- p. Mike Rogers
- q. James Heath
- r. John Tanner
- s. Kirkland Donald
- t. Patrick J. O’Reilly
- u. Phillip Eichensehr
- v. Raymond Palumbo
- w. Ziad Asali
- x. Alex Copson
- y. Bill Dudley
- z. Chris Crane
- aa. Stephen Bolze
- bb. Danny Roderick
- cc. Dan Poneman
- dd. Judy Marks
- ee. Anyone corresponding from an email address ending in
@acustrategicpartners.com
- ff. Anyone corresponding from an email address ending in @clny.com
- gg. Anyone corresponding from an email address ending in @clns.com
- hh. Anyone corresponding from an email address ending in @colonyinc.com
- ii. Anyone corresponding from an email address ending in @ip3international.com
- jj. Anyone corresponding from an email address ending in
@ironnetcybersecurity.com
- kk. Anyone corresponding from an email address ending in @scppartners.com
- ll. Anyone corresponding from an email address ending in
@mcfarlaneassociatesinc.com
- mm. Anyone corresponding from an email address ending in
@ironbridgesecurity.com

American Oversight requests that Treasury search the files of the following custodians for records responsive to this request:

- i. Immediate Office of the Secretary:
 - a. Secretary Steven Mnuchin and anyone corresponding on his behalf, such as executive assistants or schedulers.
- ii. Immediate Office of the Deputy Secretary:
 - a. Anyone serving in the role of Deputy Secretary, including Justin Muzinich and anyone serving in this role in an acting capacity, and anyone corresponding on behalf of the Deputy Secretary, such as executive assistants or schedulers; and
 - b. Anyone serving in the role of Chief of Staff or Deputy Chief of Staff to the Deputy Secretary, including anyone serving in these roles in an acting capacity.
- iii. Immediate Office of the Chief of Staff:
 - a. Anyone serving in the role of Chief of Staff, including Eli Miller and anyone serving in this role in an acting capacity.
- iv. Immediate Office of the General Counsel:
 - a. Anyone serving in the role of General Counsel, including Brent McIntosh and anyone serving in this role in an acting capacity, and anyone corresponding on behalf of the General Counsel, such as executive assistants or schedulers;
 - b. Anyone serving in the role of Chief of Staff or Deputy Chief of Staff to the General Counsel;
 - c. Anyone serving in the role of Principal Deputy General Counsel or Deputy General Counsel, including anyone serving in these roles in an acting capacity; and
 - d. Anyone serving in the role of Assistant General Counsel, Enforcement & Intelligence, Deputy Assistant General Counsel, Enforcement & Intelligence, and Chief Counsel, Office of Foreign Assets Control, including anyone serving in these roles in an acting capacity.
- v. The immediate Office of the Director of the Office of Foreign Assets Control:
 - a. Anyone serving in the role of Director, including anyone serving in this role in an acting capacity, and anyone corresponding on behalf of the Director, such as executive assistants or schedulers; and
 - b. Any Associate/Assistant/Deputy Directors, Chiefs or Deputy Chiefs of Staff, including anyone serving in these roles in an acting capacity.

Please provide all responsive records from January 20, 2017, through the date the search is conducted.

American Oversight seeks all responsive records regardless of format, medium, or physical characteristics. In conducting your search, please understand the terms “record,” “document,” and “information” in their broadest sense, to include any written, typed, recorded, graphic, printed, or

audio material of any kind. We seek records of any kind, including electronic records, audiotapes, videotapes, and photographs, as well as letters, emails, facsimiles, telephone messages, voice mail messages and transcripts, notes, or minutes of any meetings, telephone conversations or discussions. Our request includes any attachments to these records. **No category of material should be omitted from search, collection, and production.**

Please search all records regarding agency business. **You may not exclude searches of files or emails in the personal custody of your officials, such as personal email accounts.** Records of official business conducted using unofficial systems or stored outside of official files are subject to the Federal Records Act and FOIA.² **It is not adequate to rely on policies and procedures that require officials to move such information to official systems within a certain period of time; American Oversight has a right to records contained in those files even if material has not yet been moved to official systems or if officials have, through negligence or willfulness, failed to meet their obligations.**³

In addition, please note that in conducting a “reasonable search” as required by law, you must employ the most up-to-date technologies and tools available, in addition to searches by individual custodians likely to have responsive information. Recent technology may have rendered your agency’s prior FOIA practices unreasonable. **In light of the government-wide requirements to manage information electronically by the end of 2016, it is no longer reasonable to rely exclusively on custodian-driven searches.**⁴ Furthermore, agencies that have adopted the National Archives and Records Administration (NARA) Capstone program, or similar policies, now maintain emails in a form that is reasonably likely to be more complete than individual custodians’ files. For example, a custodian may have deleted a responsive email from his or her email program, but your agency’s archiving tools would capture that email under Capstone. Accordingly, American Oversight insists that your agency use the most up-to-date technologies to search for responsive information and

² See *Competitive Enter. Inst. v. Office of Sci. & Tech. Policy*, 827 F.3d 145, 149–50 (D.C. Cir. 2016); cf. *Judicial Watch, Inc. v. Kerry*, 844 F.3d 952, 955–56 (D.C. Cir. 2016).

³ See *Competitive Enter. Inst. v. Office of Sci. & Tech. Policy*, No. 14-cv-765, slip op. at 8 (D.D.C. Dec. 12, 2016) (“The Government argues that because the agency had a policy requiring [the official] to forward all of his emails from his [personal] account to his business email, the [personal] account only contains duplicate agency records at best. Therefore, the Government claims that any hypothetical deletion of the [personal account] emails would still leave a copy of those records intact in [the official’s] work email. However, policies are rarely followed to perfection by anyone. At this stage of the case, the Court cannot assume that each and every work-related email in the [personal] account was duplicated in [the official’s] work email account.” (citations omitted)).

⁴ Presidential Memorandum—Managing Government Records, 76 Fed. Reg. 75,423 (Nov. 28, 2011), <https://obamawhitehouse.archives.gov/the-press-office/2011/11/28/presidential-memorandum-managing-government-records>; Office of Mgmt. & Budget, Exec. Office of the President, Memorandum for the Heads of Executive Departments & Independent Agencies, “Managing Government Records Directive,” M-12-18 (Aug. 24, 2012), <https://www.archives.gov/files/records-mgmt/m-12-18.pdf>.

take steps to ensure that the most complete repositories of information are searched. American Oversight is available to work with you to craft appropriate search terms. **However, custodian searches are still required; agencies may not have direct access to files stored in .PST files, outside of network drives, in paper format, or in personal email accounts.**

Under the FOIA Improvement Act of 2016, agencies must adopt a presumption of disclosure, withholding information “only if . . . disclosure would harm an interest protected by an exemption” or “disclosure is prohibited by law.”⁵ If it is your position that any portion of the requested records is exempt from disclosure, American Oversight requests that you provide an index of those documents as required under *Vaughn v. Rosen*, 484 F.2d 820 (D.C. Cir. 1973), *cert. denied*, 415 U.S. 977 (1974). As you are aware, a *Vaughn* index must describe each document claimed as exempt with sufficient specificity “to permit a reasoned judgment as to whether the material is actually exempt under FOIA.”⁶ Moreover, the *Vaughn* index “must describe *each* document or portion thereof withheld, and for *each* withholding it must discuss the consequences of disclosing the sought-after information.”⁷ Further, “the withholding agency must supply ‘a relatively detailed justification, specifically identifying the reasons why a particular exemption is relevant and correlating those claims with the particular part of a withheld document to which they apply.’”⁸

In the event some portions of the requested records are properly exempt from disclosure, please disclose any reasonably segregable non-exempt portions of the requested records. If it is your position that a document contains non-exempt segments, but that those non-exempt segments are so dispersed throughout the document as to make segregation impossible, please state what portion of the document is non-exempt, and how the material is dispersed throughout the document.⁹ Claims of nonsegregability must be made with the same degree of detail as required for claims of exemptions in a *Vaughn* index. If a request is denied in whole, please state specifically that it is not reasonable to segregate portions of the record for release.

To ensure that this request is properly construed, that searches are conducted in an adequate but efficient manner, and that extraneous costs are not incurred, American Oversight welcomes an opportunity to discuss its request with you before you undertake your search or incur search or duplication costs. By working together at the outset, American Oversight hopes to decrease the likelihood of costly and time-consuming litigation in the future.

Where possible, please provide responsive material in electronic format by email or in PDF or TIF format on a USB drive. Please send any responsive material being sent by mail to American Oversight, 1030 15th Street NW, Suite B255, Washington, DC 20005. If it will accelerate release of

⁵ FOIA Improvement Act of 2016 § 2 (Pub. L. No. 114-185).

⁶ *Founding Church of Scientology v. Bell*, 603 F.2d 945, 949 (D.C. Cir. 1979).

⁷ *King v. U.S. Dep’t of Justice*, 830 F.2d 210, 223–24 (D.C. Cir. 1987) (emphasis in original).

⁸ *Id.* at 224 (citing *Mead Data Central, Inc. v. U.S. Dep’t of the Air Force*, 566 F.2d 242, 251 (D.C. Cir. 1977)).

⁹ *Mead Data Central*, 566 F.2d at 261.

responsive records to American Oversight, please also provide responsive material on a rolling basis.

Fee Waiver Request

In accordance with 5 U.S.C. § 552(a)(4)(A)(iii) and your agency's implementing regulations, American Oversight requests a waiver of fees associated with processing this request for records. The subject of this request concerns the operations of the federal government, and the disclosures will likely contribute to public understanding of those operations. Moreover, the request is primarily and fundamentally for non-commercial purposes.

American Oversight requests a waiver of fees because disclosure of the requested information is in the public interest because it is likely to contribute significantly to public understanding of activities of the government. The disclosure of the information sought under this request will reveal the operations of the federal government, including the administration's policymaking activities and international relations related to nuclear technology. There is significant interest in knowing what actions the government took in this sensitive area,¹⁰ and the records responsive to this request will contribute significantly to the public's understanding of the government's activities.

This request is primarily and fundamentally not for commercial purposes, but rather the primary interest is in public disclosure of responsive records. As a 501(c)(3) nonprofit, American Oversight does not have a commercial purpose and the release of the information requested is not in American Oversight's financial interest. American Oversight's mission is to promote transparency in government, to educate the public about government activities, and to ensure the accountability of government officials. American Oversight uses the information gathered, and its analysis of it, to educate the public through reports, press releases, or other media. American Oversight also makes materials it gathers available on its public website and promotes their availability on social media platforms, such as Facebook and Twitter.¹¹ American Oversight has demonstrated its commitment

¹⁰ See, e.g., House Committee on Oversight and Reform, *supra* note 1; Matthew Fuhrmann, *The Trump Administration Wants to Sell Nuclear Technology to the Saudis - Without a Nuclear Agreement. That's Alarming.*, WASH. POST, Feb. 21, 2019, https://www.washingtonpost.com/politics/2019/02/21/trump-administration-wants-sell-nuclear-technology-saudis-without-nuclear-agreement-thats-alarming/?utm_term=.9ae43fa642bb; Christal Hayes, *Trump Administration Pushed for Sale of Nuclear Plants to Saudi Arabia, Democrats Say in Report*, USA TODAY (Feb. 19, 2019, 5:45 PM), <https://www.usatoday.com/story/news/world/2019/02/19/trump-administration-sell-nuclear-plants-saudi-arabia/2917357002/>; David Volodzko, *Saudi Arabia and the Dark Side of Trump's Manufacturing Plan*, FORBES (Feb. 26, 2019, 11:39 AM), <https://www.forbes.com/sites/davidvolodzko/2019/02/26/americas-favorite-cowboy-saudi-arabia-and-the-dark-side-of-trumps-manufacturing-plan/#47b60c827724>.

¹¹ American Oversight currently has approximately 12,200 page likes on Facebook and 51,000 followers on Twitter. American Oversight, FACEBOOK, <https://www.facebook.com/weareoversight/>

to the public disclosure of documents and creation of editorial content. For example, after receiving records regarding an ethics waiver received by a senior DOJ attorney,¹² American Oversight promptly posted the records to its website and published an analysis of what the records reflected about DOJ's process for ethics waivers.¹³ As another example, American Oversight has a project called "Audit the Wall," where the organization is gathering and analyzing information and commenting on public releases of information related to the administration's proposed construction of a barrier along the U.S.-Mexico border.¹⁴

Accordingly, American Oversight qualifies for a fee waiver.

Conclusion

We share a common mission to promote transparency in government. American Oversight looks forward to working with your agency on this request. If you do not understand any part of this request, have any questions, or foresee any problems in fully releasing the requested records, please contact Katherine Anthony at foia@americanoversight.org or (202) 897-3918. Also, if American Oversight's request for a fee waiver is not granted in full, please contact us immediately upon making such a determination.

Sincerely,



Austin R. Evers
Executive Director
American Oversight

(last visited Mar. 6, 2019); American Oversight (@weareoversight), TWITTER, <https://twitter.com/weareoversight> (last visited Mar. 6, 2019).

¹² *DOJ Records Relating to Solicitor General Noel Francisco's Recusal*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/document/doj-civil-division-response-noel-francisco-compliance>.

¹³ *Francisco & the Travel Ban: What We Learned from the DOJ Documents*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/francisco-the-travel-ban-what-we-learned-from-the-doj-documents>.

¹⁴ *Audit the Wall*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/investigation/audit-the-wall>.