



October 10, 2019

VIA FOIAOnline

FOIA Officer
U.S. Customs and Border Protection
90 K Street NE
FOIA Division
Washington, DC 20229
Via FOIAOnline

Re: Freedom of Information Act Request

Dear FOIA Officer:

Pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, and the implementing regulations of the U.S. Department of Homeland Security (DHS), 6 C.F.R. Part 5, and 19 C.F.R. § 103.2, American Oversight makes the following request for records.

After Hurricane Dorian decimated parts of the Bahamas in early September 2019, U.S. lawmakers encouraged the federal government to waive all visa requirements for refugees from the storm.¹ On September 7, 2019, a ferry-load of approximately 1,500 Bahamian passengers were welcomed to Palm Beach, Florida, where they were processed for admission regardless of travel documentation.² However, the next day, a different cruise line, Balearia Caribbean, boarded another group of passengers to ferry them to Ft. Lauderdale, only to ask passengers without visas to disembark.³ CBP claims that the former cruise line had coordinated with U.S. and Bahamian authorities to transport their passengers, whereas Balearia had not, and that, nevertheless CBP “would have processed [the passengers], vetted them and worked within our laws and protocols and done what we had to do to facilitate them.”⁴

Indeed, the next day, Acting Commissioner of the Customs and Border Protection (CBP) Mark Morgan, clarified that, “[i]f your life is in jeopardy and you’re in the Bahamas . . .

¹ Katie Shepherd & Herman Wong, *Trump Speaks Out After Bahamas Hurricane Survivors Were Kicked Off Ferry Over U.S. Visa Demands*, WASH. POST (Sept. 9, 2019, 9:35 PM), <https://www.washingtonpost.com/nation/2019/09/09/bahamas-survivors-visa-ferry-stranded-cpb/>.

² *Id.*

³ *Id.*

⁴ *Id.*; Christina Maxouris et al., *Ferry Operator Apologizes After Dozens of Bahamian Evacuees Were Told to Get Off a Ferry Headed to the US*, CNN (Sept. 10, 2019, 12:16 AM), <https://www.cnn.com/2019/09/09/us/bahamas-dorian-evacuations-monday/index.html>.



you’re going to be allowed to come to the United States, whether you have travel documents or not,” adding that CBP would still need to vet such individuals.⁵ Later that same day, however, President Donald Trump contradicted Acting Commissioner Morgan, stating that “everybody needs totally proper documentation,” and that “I don’t want to allow people that weren’t supposed to be in the Bahamas to come into the United States – including some very bad people and very bad gang members.”⁶

American Oversight seeks records with the potential to shed light on these events.

Requested Records

American Oversight requests that CBP produce the following records within twenty business days:

Records reflecting communications (including emails, email attachments, calendar invitations, calendar entries, text messages, or notes or summaries of communications) between (a) the agency personnel listed in column A, below, and (b) the organizations/individuals listed in column B, below:

Column A: Agency Personnel	Column B: Outside Individuals
<ul style="list-style-type: none"> i. Acting Commissioner Mark Morgan ii. Deputy Commissioner Robert Perez iii. Anyone serving in the role or performing the duties of Chief of Staff iv. Executive Director, Intergovernmental Public Liaison Office Tim Quinn v. Executive Assistant Commissioner, Office of Field Operations, Todd Owen vi. Chief, U.S. Border Patrol, Carla Provost vii. Miami Sector Chief Patrol Agent John Modlin viii. Personnel in the following offices⁷: 	<ul style="list-style-type: none"> • Any employee or representative of Balearia Caribbean, including emails with anyone communicating from an email address ending in @baleariacaribbean.com • Any employee or representative of Bahamas Paradise Cruise Line, including emails with anyone communicating from an email address ending in @cruiseBP.com

⁵ Shepherd & Wong, *supra* note 1.

⁶ *Id.*; see also Ryan Bort, *Trump Warns that Bahamian Hurricane Refugees Could Be ‘Gang Members,’* ROLLING STONE (Sept. 9, 2019, 4:06 PM), <https://www.rollingstone.com/politics/politics-news/hurricane-dorian-bahamas-refugees-denied-entry-united-states-881612/>.

⁷ American Oversight is willing to consider narrowing the custodians in these offices if CBP makes a proposal and/or provides an organizational chart or list of personnel.

<ul style="list-style-type: none"> a. Miami Sector Headquarters Office b. Dania Beach Station c. West Palm Beach Station 	
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Please provide all responsive records from September 7, 2019, through September 10, 2019.

Fee Waiver Request

In accordance with 5 U.S.C. § 552(a)(4)(A)(iii) and your agency’s regulations, American Oversight requests a waiver of fees associated with processing this request for records. The subject of this request concerns the operations of the federal government, and the disclosures will likely contribute to a better understanding of relevant government procedures by the general public in a significant way. Moreover, the request is primarily and fundamentally for non-commercial purposes.

American Oversight requests a waiver of fees because disclosure of the requested information is “in the public interest because it is likely to contribute significantly to public understanding of operations or activities of the government.”⁸ The public has a significant interest in the administration’s disaster relief operations, particularly in light of the government’s historical issues in coordinating hurricane relief efforts. Records with the potential to shed light on this topic would contribute significantly to public understanding of operations of the federal government, including hurricane relief coordination among the executive branch, as well as the administration’s treatment of refugees from the storm. American Oversight is committed to transparency and makes the responses agencies provide to FOIA requests publicly available, and the public’s understanding of the government’s activities would be enhanced through American Oversight’s analysis and publication of these records.

This request is primarily and fundamentally for non-commercial purposes.⁹ As a 501(c)(3) nonprofit, American Oversight does not have a commercial purpose and the release of the information requested is not in American Oversight’s financial interest. American Oversight’s mission is to promote transparency in government, to educate the public about government activities, and to ensure the accountability of government officials. American Oversight uses the information gathered, and its analysis of it, to educate the public through reports, press releases, or other media. American Oversight also makes

⁸ 5 U.S.C. § 552(a)(4)(A)(iii).

⁹ See 5 U.S.C. § 552(a)(4)(A)(iii).

materials it gathers available on its public website and promotes their availability on social media platforms, such as Facebook and Twitter.¹⁰

American Oversight has also demonstrated its commitment to the public disclosure of documents and creation of editorial content through numerous substantive analyses posted to its website.¹¹ Examples reflecting this commitment to the public disclosure of documents and the creation of editorial content include the posting of records related to an ethics waiver received by a senior Department of Justice attorney and an analysis of what those records demonstrated regarding the Department's process for issuing such waivers;¹² posting records received as part of American Oversight's "Audit the Wall" project to gather and analyze information related to the administration's proposed construction of a barrier along the U.S.-Mexico border, and analyses of what those records reveal;¹³ posting records regarding potential self-dealing at the Department of Housing & Urban Development and related analysis;¹⁴ posting records and analysis relating to the federal government's efforts to sell nuclear technology to Saudi Arabia;¹⁵ and posting records and analysis regarding the Department of Justice's decision in response to demands from Congress to direct a U.S. Attorney to undertake a wide-ranging review and make recommendations regarding criminal investigations relating to the President's political opponents and allegations of misconduct by the Department of Justice itself and the Federal Bureau of Investigation.¹⁶

¹⁰ American Oversight currently has approximately 12,200 page likes on Facebook and 54,400 followers on Twitter. American Oversight, FACEBOOK, <https://www.facebook.com/weareoversight/> (last visited Sept. 6, 2019); American Oversight (@weareoversight), TWITTER, <https://twitter.com/weareoversight> (last visited Sept. 6, 2019).

¹¹ *News*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/blog>.

¹² *DOJ Records Relating to Solicitor General Noel Francisco's Recusal*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/document/doj-civil-division-response-noel-francisco-compliance>; *Francisco & the Travel Ban: What We Learned from the DOJ Documents*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/francisco-the-travel-ban-what-we-learned-from-the-doj-documents>.

¹³ *See generally Audit the Wall*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/investigation/audit-the-wall>; *see, e.g., Border Wall Investigation Report: No Plans, No Funding, No Timeline, No Wall*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/border-wall-investigation-report-no-plans-no-funding-no-timeline-no-wall>.

¹⁴ *Documents Reveal Ben Carson Jr.'s Attempts to Use His Influence at HUD to Help His Business*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/documents-reveal-ben-carson-jr-s-attempts-to-use-his-influence-at-hud-to-help-his-business>.

¹⁵ *Investigating the Trump Administration's Efforts to Sell Nuclear Technology to Saudi Arabia*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/investigating-the-trump-administrations-efforts-to-sell-nuclear-technology-to-saudi-arabia>.

¹⁶ *Sessions' Letter Shows DOJ Acted on Trump's Authoritarian Demand to Investigate Clinton*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/sessions-letter>.

Accordingly, American Oversight qualifies for a fee waiver.

Guidance Regarding the Search & Processing of Requested Records

In connection with its request for records, American Oversight provides the following guidance regarding the scope of the records sought and the search and processing of records:

- Please search all locations and systems likely to have responsive records, regardless of format, medium, or physical characteristics. For instance, if the request seeks “communications,” please search all locations likely to contain communications, including relevant hard-copy files, correspondence files, appropriate locations on hard drives and shared drives, emails, text messages or other direct messaging systems (such as iMessage, WhatsApp, Signal, or Twitter direct messages), voicemail messages, instant messaging systems such as Lync or ICQ, and shared messages systems such as Slack.
- In conducting your search, please understand the terms “record,” “document,” and “information” in their broadest sense, to include any written, typed, recorded, graphic, printed, or audio material of any kind. We seek records of any kind, including electronic records, audiotapes, videotapes, and photographs, as well as letters, emails, facsimiles, telephone messages, voice mail messages and transcripts, notes, or minutes of any meetings, telephone conversations or discussions.
- Our request for records includes any attachments to those records or other materials enclosed with those records when they were previously transmitted. To the extent that an email is responsive to our request, our request includes all prior messages sent or received in that email chain, as well as any attachments to the email.
- Please search all relevant records or systems containing records regarding agency business. Do not exclude records regarding agency business contained in files, email accounts, or devices in the personal custody of your officials, such as personal email accounts or text messages. Records of official business conducted using unofficial systems or stored outside of official files are subject to the Federal Records Act and FOIA.¹⁷ It is not adequate to rely on policies and procedures that require officials to move such information to official systems within a certain period of time; American Oversight has a right to records contained in those files

¹⁷ See *Competitive Enter. Inst. v. Office of Sci. & Tech. Policy*, 827 F.3d 145, 149–50 (D.C. Cir. 2016); cf. *Judicial Watch, Inc. v. Kerry*, 844 F.3d 952, 955–56 (D.C. Cir. 2016).

even if material has not yet been moved to official systems or if officials have, by intent or through negligence, failed to meet their obligations.¹⁸

- Please use all tools available to your agency to conduct a complete and efficient search for potentially responsive records. Agencies are subject to government-wide requirements to manage agency information electronically,¹⁹ and many agencies have adopted the National Archives and Records Administration (NARA) Capstone program, or similar policies. These systems provide options for searching emails and other electronic records in a manner that is reasonably likely to be more complete than just searching individual custodian files. For example, a custodian may have deleted a responsive email from his or her email program, but your agency’s archiving tools may capture that email under Capstone. At the same time, custodian searches are still necessary; agencies may not have direct access to files stored in .PST files, outside of network drives, in paper format, or in personal email accounts.
- In the event some portions of the requested records are properly exempt from disclosure, please disclose any reasonably segregable non-exempt portions of the requested records. If a request is denied in whole, please state specifically why it is not reasonable to segregate portions of the record for release.
- Please take appropriate steps to ensure that records responsive to this request are not deleted by the agency before the completion of processing for this request. If records potentially responsive to this request are likely to be located on systems where they are subject to potential deletion, including on a scheduled basis, please take steps to prevent that deletion, including, as appropriate, by instituting a litigation hold on those records.

Conclusion

If you have any questions regarding how to construe this request for records or believe that further discussions regarding search and processing would facilitate a more efficient production of records of interest to American Oversight, please do not hesitate to contact American Oversight to discuss this request. American Oversight welcomes an opportunity to discuss its request with you before you undertake your search or incur search or

¹⁸ See *Competitive Enter. Inst. v. Office of Sci. & Tech. Policy*, No. 14-cv-765, slip op. at 8 (D.D.C. Dec. 12, 2016).

¹⁹ Presidential Memorandum—Managing Government Records, 76 Fed. Reg. 75,423 (Nov. 28, 2011), <https://obamawhitehouse.archives.gov/the-press-office/2011/11/28/presidential-memorandum-managing-government-records>; Office of Mgmt. & Budget, Exec. Office of the President, Memorandum for the Heads of Executive Departments & Independent Agencies, “Managing Government Records Directive,” M-12-18 (Aug. 24, 2012), <https://www.archives.gov/files/records-mgmt/m-12-18.pdf>.

duplication costs. By working together at the outset, American Oversight and your agency can decrease the likelihood of costly and time-consuming litigation in the future.

Where possible, please provide responsive material in an electronic format by email. Alternatively, please provide responsive material in native format or in PDF format on a USB drive. Please send any responsive material being sent by mail to American Oversight, 1030 15th Street NW, Suite B255, Washington, DC 20005. If it will accelerate release of responsive records to American Oversight, please also provide responsive material on a rolling basis.

We share a common mission to promote transparency in government. American Oversight looks forward to working with your agency on this request. If you do not understand any part of this request, please contact Katherine Anthony at foia@americanoversight.org or 202.897-3918. Also, if American Oversight's request for a fee waiver is not granted in full, please contact us immediately upon making such a determination.

Sincerely,

A handwritten signature in blue ink, appearing to read "Austin R. Evers", with a long horizontal flourish extending to the left.

Austin R. Evers
Executive Director
American Oversight