



October 9, 2019

VIA ELECTRONIC MAIL

FOIA/PA Request  
FOIA and Transparency  
Department of the Treasury  
Washington, DC 20220  
[treasfoia@treasury.gov](mailto:treasfoia@treasury.gov)

**Re: Freedom of Information Act Request**

Dear FOIA Officer:

Pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, and the implementing regulations of your agency, 31 C.F.R. Part 1, Subpart A, American Oversight makes the following request for records.

In December 2018, Treasury notified Congress of its intent to end sanctions on multiple entities associated with Russian oligarch Oleg Deripaska—including En+ Group and its subsidiary, Rusal<sup>1</sup>—which were originally imposed in April 2018 in response to Russian meddling in the 2016 election. In April 2019, En+ Group and Rusal announced a \$200 million investment in an aluminum plant in Kentucky to be built by Braidy Industries.<sup>2</sup> Although Braidy Industries has stated that they did not discuss the investment with state or federal officials before the announcement,<sup>3</sup> a Rusal executive has separately acknowledged that discussions regarding the investment took place “long before Rusal was sanctioned” and that they “put the talks on hold after sanctions.”<sup>4</sup>

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<sup>1</sup> *OFAC Notifies Congress of Intent to Delist En+, Rusal, and EuroSibEnerg*, U.S. DEP’T OF THE TREASURY, Dec. 19, 2018, <https://home.treasury.gov/news/press-releases/sm576>.

<sup>2</sup> Bob Tita, *Rusal to Back New U.S. Aluminum Mill, the Largest in Decades*, WALL ST. J. (Apr. 14, 2019, 9:14 PM), <https://www.wsj.com/articles/rusal-to-back-new-u-s-aluminum-mill-the-largest-in-decades-11555290890>.

<sup>3</sup> Kenneth Vogel, *Democrats Seek Review of Russian Investment in Kentucky*, N.Y. TIMES, May 16, 2019, <https://www.nytimes.com/2019/05/16/us/politics/rusal-investment-kentucky.html>.

<sup>4</sup> Beethika Biswas, *Rusal Refutes Controversy Over Investment in Braidy’s Rolling Mill; Hopes to Restore Lost Market Share by 2020*, AL CIRCLE, June 13, 2019, <https://www.alcircle.com/news/rusal-refutes-controversy-over-investment-in-braidys-rolling-mill-hopes-to-restore-lost-market-share-by-2020-47150>.



Recent reporting suggests two former staffers for Senator Mitch McConnell have been lobbying on behalf of Braidy Industries,<sup>5</sup> and former Senator David Vitter has lobbied on behalf of En+.

American Oversight seeks records to shed light on the circumstances surrounding Rusal's decision to invest in Braidy Industries' Kentucky aluminum plant, and whether and to what extent the potential for Russian investment in Kentucky contributed to the decision to remove sanctions on Rusal.

### **Requested Records**

American Oversight requests that Treasury produce the following records within twenty business days:

All communications (including email messages, email attachments, calendar invitations, and text messages) between (a) the below list of specified Treasury officials and (b) the below list of individuals or organizations:

Specified Treasury officials:

- i. Under Secretary for Terrorism and Financial Intelligence Sigal Mandelkar and anyone serving as her Chief of Staff;
- ii. OFAC Director Andrea Gacki and anyone serving as her Deputy or Chief of Staff;
- iii. Anyone serving as OFAC Chief Counsel or Deputy Chief Counsel, including but not limited to Charles Steele;
- iv. Anyone at OFAC serving as a senior advisor.

External Individuals and Organizations:

- i. Braidy Industries (including anyone communicating from an email address ending in @braidy.com or @braidyindustries.com)
- ii. Craig Bouchard
- iii. Julie Kavanaugh
- iv. Tom Modrowski
- v. Nate Haney
- vi. Hunter Bates, including but not limited to the email address [hbates@akingump.com](mailto:hbates@akingump.com)
- vii. Brendan Dunn, including but not limited to the email address [bdunn@akingump.com](mailto:bdunn@akingump.com)

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<sup>5</sup> Natasha Bertrand & Theodor Meyer, *Ex-McConnell Staffers Lobbied on Russian-Backed Kentucky Project*, POLITICO (Aug. 1, 2019, 11:25 AM), <https://www.politico.com/story/2019/07/31/mcconnell-staffers-lobbied-russian-backed-kentucky-project-1442550>; Vogel, *supra* note 3.

- viii. Lord Gregory Barker, including but not limited to the email address [lordbarker@enplus.co.uk](mailto:lordbarker@enplus.co.uk)
- ix. Anyone with an email address ending in @enplus.co.uk
- x. Former Senator David Vitter, including but not limited to the email address [dvitter@mercuryllc.com](mailto:dvitter@mercuryllc.com)
- xi. Bryan Lanza, including but not limited to the email address [blanza@mercuryllc.com](mailto:blanza@mercuryllc.com)
- xii. Anyone with an email address ending in @mercuryllc.com
- xiii. Kentucky Governor Matt Bevin, and anyone communicating on his behalf
- xiv. Rusal (including but not limited to anyone with an email address ending in rusal.ru)
- xv. En+ (including but not limited to anyone with an email address ending in enplus.ru)
- xvi. EuroSibEnergo
- xvii. Oleg Deripaska
- xviii. Leonard “Len” Blavatnik
- xix. Warner Music Group
- xx. Viktor Vekselberg
- xxi. Konstantin Kilimnik
- xxii. Paul Manafort
- xxiii. Rick Gates
- xxiv. Endeavor
- xxv. Sual Partners
- xxvi. RatPac
- xxvii. Dune
- xxviii. Orandy Capital
- xxix. VTB
- xxx. Glencore

Please provide all responsive records from January 20, 2017, through the date the search is conducted.

### **Fee Waiver Request**

In accordance with 5 U.S.C. § 552(a)(4)(A)(iii) and your agency’s regulations, American Oversight requests a waiver of fees associated with processing this request for records. The subject of this request concerns the operations of the federal government, and the disclosures will likely contribute to a better understanding of relevant government procedures by the general public in a significant way. Moreover, the request is primarily and fundamentally for non-commercial purposes.

American Oversight requests a waiver of fees because disclosure of the requested information is “in the public interest because it is likely to contribute significantly to

public understanding of operations or activities of the government.”<sup>6</sup> The public has a significant interest in the circumstances surrounding the decision of a Russian company to invest in a Kentucky aluminum plant shortly after the removal of sanctions, which had been imposed because of ties to Russian meddling in the 2016 election.<sup>7</sup> Records with the potential to shed light on this matter would contribute significantly to public understanding of operations of the federal government, including whether and to what extent the potential for investment contributed to the decision to remove sanctions. American Oversight is committed to transparency and makes the responses agencies provide to FOIA requests publicly available, and the public’s understanding of the government’s activities would be enhanced through American Oversight’s analysis and publication of these records.

This request is primarily and fundamentally for non-commercial purposes.<sup>8</sup> As a 501(c)(3) nonprofit, American Oversight does not have a commercial purpose and the release of the information requested is not in American Oversight’s financial interest. American Oversight’s mission is to promote transparency in government, to educate the public about government activities, and to ensure the accountability of government officials. American Oversight uses the information gathered, and its analysis of it, to educate the public through reports, press releases, or other media. American Oversight also makes materials it gathers available on its public website and promotes their availability on social media platforms, such as Facebook and Twitter.<sup>9</sup>

American Oversight has also demonstrated its commitment to the public disclosure of documents and creation of editorial content through numerous substantive analyses posted to its website.<sup>10</sup> Examples reflecting this commitment to the public disclosure of documents and the creation of editorial content include the posting of records related to an ethics waiver received by a senior Department of Justice attorney and an analysis of what those records demonstrated regarding the Department’s process for issuing such waivers;<sup>11</sup> posting records received as part of American Oversight’s “Audit the Wall” project to gather and analyze information related to the administration’s proposed

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<sup>6</sup> 5 U.S.C. § 552(a)(4)(A)(iii).

<sup>7</sup> *Supra* note 1.

<sup>8</sup> *See* 5 U.S.C. § 552(a)(4)(A)(iii).

<sup>9</sup> American Oversight currently has approximately 12,200 page likes on Facebook and 54,400 followers on Twitter. American Oversight, Facebook, <https://www.facebook.com/weareoversight/> (last visited Oct. 4, 2019); American Oversight (@weareoversight), Twitter, <https://twitter.com/weareoversight> (last visited Oct. 4, 2019).

<sup>10</sup> *News*, American Oversight, <https://www.americanoversight.org/blog>.

<sup>11</sup> *DOJ Records Relating to Solicitor General Noel Francisco’s Recusal*, American Oversight, <https://www.americanoversight.org/document/doj-civil-division-response-noel-francisco-compliance>; *Francisco & the Travel Ban: What We Learned from the DOJ Documents*, American Oversight, <https://www.americanoversight.org/francisco-the-travel-ban-what-we-learned-from-the-doj-documents>.

construction of a barrier along the U.S.-Mexico border, and analyses of what those records reveal;<sup>12</sup> posting records regarding potential self-dealing at the Department of Housing & Urban Development and related analysis;<sup>13</sup> posting records and analysis relating to the federal government's efforts to sell nuclear technology to Saudi Arabia;<sup>14</sup> posting records and analysis regarding the Department of Justice's decision in response to demands from Congress to direct a U.S. Attorney to undertake a wide-ranging review and make recommendations regarding criminal investigations relating to the President's political opponents and allegations of misconduct by the Department of Justice itself and the Federal Bureau of Investigation.<sup>15</sup>

Accordingly, American Oversight qualifies for a fee waiver.

Notwithstanding its fee waiver request, pursuant to 31 C.F.R. § 1.5(b)(7), American Oversight hereby states that it is willing to pay fees in an amount not more than \$25.00.

### **Guidance Regarding the Search & Processing of Requested Records**

In connection with its request for records, American Oversight provides the following guidance regarding the scope of the records sought and the search and processing of records:

- Please search all locations and systems likely to have responsive records, regardless of format, medium, or physical characteristics. For instance, if the request seeks "communications," please search all locations likely to contain communications, including relevant hard-copy files, correspondence files, appropriate locations on hard drives and shared drives, emails, text messages or other direct messaging systems (such as iMessage, WhatsApp, Signal, or Twitter direct messages), voicemail messages, instant messaging systems such as Lync or ICQ, and shared messages systems such as Slack.

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<sup>12</sup> See generally *Audit the Wall*, American Oversight, <https://www.americanoversight.org/investigation/audit-the-wall>; see, e.g., *Border Wall Investigation Report: No Plans, No Funding, No Timeline, No Wall*, American Oversight, <https://www.americanoversight.org/border-wall-investigation-report-no-plans-no-funding-no-timeline-no-wall>.

<sup>13</sup> *Documents Reveal Ben Carson Jr.'s Attempts to Use His Influence at HUD to Help His Business*, American Oversight, <https://www.americanoversight.org/documents-reveal-ben-carson-jr-s-attempts-to-use-his-influence-at-hud-to-help-his-business>.

<sup>14</sup> *Investigating the Trump Administration's Efforts to Sell Nuclear Technology to Saudi Arabia*, American Oversight, <https://www.americanoversight.org/investigating-the-trump-administrations-efforts-to-sell-nuclear-technology-to-saudi-arabia>.

<sup>15</sup> *Sessions' Letter Shows DOJ Acted On Trump's Authoritarian Demand to Investigate Clinton*, American Oversight, <https://www.americanoversight.org/sessions-letter>.

- In conducting your search, please understand the terms “record,” “document,” and “information” in their broadest sense, to include any written, typed, recorded, graphic, printed, or audio material of any kind. We seek records of any kind, including electronic records, audiotapes, videotapes, and photographs, as well as letters, emails, facsimiles, telephone messages, voice mail messages and transcripts, notes, or minutes of any meetings, telephone conversations or discussions.
- Our request for records includes any attachments to those records or other materials enclosed with those records when they were previously transmitted. To the extent that an email is responsive to our request, our request includes all prior messages sent or received in that email chain, as well as any attachments to the email.
- Please search all relevant records or systems containing records regarding agency business. Do not exclude records regarding agency business contained in files, email accounts, or devices in the personal custody of your officials, such as personal email accounts or text messages. Records of official business conducted using unofficial systems or stored outside of official files are subject to the Federal Records Act and FOIA.<sup>16</sup> It is not adequate to rely on policies and procedures that require officials to move such information to official systems within a certain period of time; American Oversight has a right to records contained in those files even if material has not yet been moved to official systems or if officials have, by intent or through negligence, failed to meet their obligations.<sup>17</sup>
- Please use all tools available to your agency to conduct a complete and efficient search for potentially responsive records. Agencies are subject to government-wide requirements to manage agency information electronically,<sup>18</sup> and many agencies have adopted the National Archives and Records Administration (NARA) Capstone program, or similar policies. These systems provide options for searching emails and other electronic records in a manner that is reasonably likely to be more complete than just searching individual custodian files. For example, a custodian may have deleted a responsive email from his or her email program, but your agency’s archiving tools may capture that email under Capstone. At the same

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<sup>16</sup> See *Competitive Enter. Inst. v. Office of Sci. & Tech. Policy*, 827 F.3d 145, 149–50 (D.C. Cir. 2016); cf. *Judicial Watch, Inc. v. Kerry*, 844 F.3d 952, 955–56 (D.C. Cir. 2016).

<sup>17</sup> See *Competitive Enter. Inst. v. Office of Sci. & Tech. Policy*, No. 14-cv-765, slip op. at 8 (D.D.C. Dec. 12, 2016).

<sup>18</sup> Presidential Memorandum—Managing Government Records, 76 Fed. Reg. 75,423 (Nov. 28, 2011), <https://obamawhitehouse.archives.gov/the-press-office/2011/11/28/presidential-memorandum-managing-government-records>; Office of Mgmt. & Budget, Exec. Office of the President, Memorandum for the Heads of Executive Departments & Independent Agencies, “Managing Government Records Directive,” M-12-18 (Aug. 24, 2012), <https://www.archives.gov/files/records-mgmt/m-12-18.pdf>.

time, custodian searches are still necessary; agencies may not have direct access to files stored in .PST files, outside of network drives, in paper format, or in personal email accounts.

- In the event some portions of the requested records are properly exempt from disclosure, please disclose any reasonably segregable non-exempt portions of the requested records. If a request is denied in whole, please state specifically why it is not reasonable to segregate portions of the record for release.
- Please take appropriate steps to ensure that records responsive to this request are not deleted by the agency before the completion of processing for this request. If records potentially responsive to this request are likely to be located on systems where they are subject to potential deletion, including on a scheduled basis, please take steps to prevent that deletion, including, as appropriate, by instituting a litigation hold on those records.

### **Conclusion**

If you have any questions regarding how to construe this request for records or believe that further discussions regarding search and processing would facilitate a more efficient production of records of interest to American Oversight, please do not hesitate to contact American Oversight to discuss this request. American Oversight welcomes an opportunity to discuss its request with you before you undertake your search or incur search or duplication costs. By working together at the outset, American Oversight and your agency can decrease the likelihood of costly and time-consuming litigation in the future.

Where possible, please provide responsive material in an electronic format by email. Alternatively, please provide responsive material in native format or in PDF format on a USB drive. Please send any responsive material being sent by mail to American Oversight, 1030 15th Street NW, Suite B255, Washington, DC 20005. If it will accelerate release of responsive records to American Oversight, please also provide responsive material on a rolling basis.

We share a common mission to promote transparency in government. American Oversight looks forward to working with your agency on this request. If you do not understand any part of this request, please contact Khahilia Shaw at [foia@americanoversight.org](mailto:foia@americanoversight.org) or

202.539.6507. Also, if American Oversight's request for a fee waiver is not granted in full, please contact us immediately upon making such a determination.

Sincerely,

A handwritten signature in blue ink, appearing to read "Austin R. Evers", with a long horizontal flourish extending to the left.

Austin R. Evers  
Executive Director  
American Oversight