



March 24, 2020

**VIA FOIA ONLINE**

Departmental FOIA Officer  
Office of Privacy and Open Government  
U.S. Department of Commerce  
14th and Constitution Avenue NW  
Mail Stop 52010FB  
Washington, DC 20230  
Via FOIAOnline

**Re: Freedom of Information Act Request**

Dear FOIA Officer:

Pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, and the implementing regulations of the U.S. Department of Commerce (Commerce), 15 C.F.R. Part 4, American Oversight makes the following request for records.

In 2018, President Trump directed the Department of Commerce to impose tariffs on a vast array of products, including steel and aluminum. This decision raised prices for many manufacturers using imported metals but may have profited some domestic producers of primary steel and aluminum. Among these producers that may have benefited is Century Aluminum, the second-largest aluminum producer in the country, which operates a plant in Hawesville, Kentucky.<sup>1</sup> Following the implementation of the tariffs, Century Aluminum announced that its Hawesville plant would return to operating at full capacity, adding an additional 300 positions.<sup>2</sup> Whereas the trade war is estimated to have cost the overall economy hundreds of thousands of jobs and tens of billions of dollars,<sup>3</sup> a narrow set of companies has benefited.

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<sup>1</sup> Timothy Aepfel, *How a Small Aluminum Maker Won U.S. Trade Protection*, REUTERS (Oct. 18, 2019, 6:10 AM), <https://www.reuters.com/article/us-usa-trade-china-aluminum-focus/how-a-small-aluminum-maker-won-u-s-trade-protection-idUSKBN1WX156>.

<sup>2</sup> Alan Rappaport, *Trump's Tariffs Are Paying Off for Century Aluminum*, N.Y TIMES, Aug. 22, 2018, <https://www.nytimes.com/2018/08/22/business/trumps-tariffs-century-aluminum.html>.

<sup>3</sup> Katie Lobosco, *Breaking Down the Costs of Trump's Trade War with China*, CNN (Jan. 14, 2020, 3:29 PM), <https://www.cnn.com/2020/01/14/politics/cost-of-china-tariff-trade-war/index.html>.



Separately, in December 2018, The Department of the Treasury notified Congress of its intent to end sanctions on multiple entities associated with Russian oligarch Oleg Deripaska—including En+ Group and its subsidiary, Rusal<sup>4</sup>—which were originally imposed in April 2018 in response to Russian meddling in the 2016 U.S. presidential election. In April 2019, En+ Group and Rusal announced a \$200 million investment in an aluminum plant in Kentucky to be built by Braidy Industries.<sup>5</sup> Although Braidy Industries has stated that they did not discuss the investment with state or federal officials before the announcement,<sup>6</sup> separately a Rusal executive has acknowledged that discussions regarding the investment took place “long before Rusal was sanctioned” and that they “put the talks on hold after sanctions.”<sup>7</sup>

American Oversight seeks records to shed light on whether political interests in the state of Kentucky have influenced the Trump administration’s decisions regarding trade policy.

**Requested Records**

American Oversight requests that Commerce produce the following within twenty business days:

All email communications (including emails, email attachments, complete email chains, calendar invitations, and attachments thereto) sent from (A) the Department of Commerce officials specified in the chart below to (B) Senator Mitch McConnell, employees of his office, or his campaign staff specified in the chart below, containing any of the key terms listed after the chart.

Specified Agency Officials	Mitch McConnell, His Office, and Campaign Staff
<ul style="list-style-type: none"> <li>i. Secretary Wilbur Ross</li> <li>ii. Former Chief of Staff, Wendy Teramoto</li> <li>iii. Chief of Staff, Michael Walsh, Jr.</li> </ul>	<ul style="list-style-type: none"> <li>1. Senator Mitch McConnell</li> <li>2. Anyone communicating on behalf of Sen. McConnell, including anyone whose email ends in</li> </ul>

<sup>4</sup> OFAC Notifies Congress of Intent to Delist En+, Rusal, and EuroSibEnerg, U.S. DEP’T OF THE TREASURY, Dec. 19, 2018, <https://home.treasury.gov/news/press-releases/sm576>.

<sup>5</sup> Bob Tita, *Rusal to Back New U.S. Aluminum Mill, the Largest in Decades*, WALL ST. J. (Apr. 14, 2019, 9:14 PM), <https://www.wsj.com/articles/rusal-to-back-new-u-s-aluminum-mill-the-largest-in-decades-11555290890>.

<sup>6</sup> Kenneth Vogel, *Democrats Seek Review of Russian Investment in Kentucky*, N.Y. TIMES, May 16, 2019, <https://www.nytimes.com/2019/05/16/us/politics/rusal-investment-kentucky.html>.

<sup>7</sup> Beethika Biswas, *Rusal Refutes Controversy Over Investment in Braidy’s Rolling Mill; Hopes to Restore Lost Market Share by 2020*, AL CIRCLE, June 13, 2019, <https://www.alcircle.com/news/rusal-refutes-controversy-over-investment-in-braidys-rolling-mill-hopes-to-restore-lost-market-share-by-2020-47150>.

<ul style="list-style-type: none"> <li>iv. Deputy Secretary, Karen Dunn Kelley</li> <li>v. General Counsel, Peter Davidson</li> <li>vi. Former Assistant Secretary for Legislative and Governmental Affairs, Michael Platt Jr.</li> <li>vii. Former Senior Counselor and Advisor, James Uthmeier</li> <li>viii. Director of Policy and Strategic Planning, Earl Comstock</li> <li>ix. Former Deputy Chief of Staff, Israel Hernandez</li> <li>x. Anyone serving as White House Liaison or White House Advisor, including former Advisor Eric Branstad</li> <li>xi. Former Deputy Director of the Office of White House Liaison, Kevin Quinley</li> <li>xii. Former Senior Policy Advisor, Sahra Park-Su</li> <li>xiii. Associate Director, Office of the Executive Secretariat, William Clark Barrow</li> </ul>	<p>@mccconnell.senate.gov or @teammitch.com</p>
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Specified Key Terms:

- a. "Century Aluminum"
- b. Alcoa
- c. Hawesville
- d. "Mike Bless"
- e. "Ray Harvey"
- f. "Jesse Gary"
- g. "Trump Tariffs"
- h. Braidy
- i. Rusal
- j. "En+"
- k. Enplus
- l. smelter
- m. "aluminum plant"
- n. "aluminum mill"

- o. Ashland
- p. Atlas
- q. Bouchard
- r. Deripaska
- s. "Russia sanctions"

Please provide all responsive records from January 1, 2018, to June 1, 2019.

In an effort to accommodate your agency and reduce the number of potentially responsive records to be processed and produced, American Oversight has limited its request to emails sent by the Commerce custodians listed above. To be clear, however, American Oversight still requests that complete email chains be produced, displaying both sent and received messages. This means, for example, that both Secretary Ross's response to an email from Senator McConnell and the initial received message are responsive to this request and should be produced.

### **Fee Waiver Request**

In accordance with 5 U.S.C. § 552(a)(4)(A)(iii) and your agency's regulations, American Oversight requests a waiver of fees associated with processing this request for records. The subject of this request concerns the operations of the federal government, and the disclosures will likely contribute to a better understanding of relevant government procedures by the general public in a significant way. Moreover, the request is primarily and fundamentally for non-commercial purposes.

American Oversight requests a waiver of fees because disclosure of the requested information is "in the public interest because it is likely to contribute significantly to public understanding of operations or activities of the government."<sup>8</sup> The public has a significant interest in agency actions related to the ongoing economic conflict with China. Records with the potential to shed light on this issue would contribute significantly to public understanding of operations of the federal government, including the extent of influence Mitch McConnell has had on agency policies through relationships with high-ranking officials. American Oversight is committed to transparency and makes the responses agencies provide to FOIA requests publicly available, and the public's understanding of the government's activities would be enhanced through American Oversight's analysis and publication of these records.

This request is primarily and fundamentally for non-commercial purposes.<sup>9</sup> As a 501(c)(3) nonprofit, American Oversight does not have a commercial purpose and the release of the information requested is not in American Oversight's financial interest. American Oversight's mission is to promote transparency in government, to educate the public about government activities, and to ensure the accountability of government officials.

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<sup>8</sup> 5 U.S.C. § 552(a)(4)(A)(iii).

<sup>9</sup> *See id.*

American Oversight uses the information gathered, and its analysis of it, to educate the public through reports, press releases, or other media. American Oversight also makes materials it gathers available on its public website and promotes their availability on social media platforms, such as Facebook and Twitter.<sup>10</sup>

American Oversight has also demonstrated its commitment to the public disclosure of documents and creation of editorial content through numerous substantive analyses posted to its website.<sup>11</sup> Examples reflecting this commitment to the public disclosure of documents and the creation of editorial content include the posting of records related to an ethics waiver received by a senior Department of Justice attorney and an analysis of what those records demonstrated regarding the Department's process for issuing such waivers;<sup>12</sup> posting records received as part of American Oversight's "Audit the Wall" project to gather and analyze information related to the administration's proposed construction of a barrier along the U.S.-Mexico border, and analyses of what those records reveal;<sup>13</sup> posting records regarding potential self-dealing at the Department of Housing & Urban Development and related analysis;<sup>14</sup> posting records and analysis relating to the federal government's efforts to sell nuclear technology to Saudi Arabia;<sup>15</sup> and posting records and analysis regarding the Department of Justice's decision in response to demands from Congress to direct a U.S. Attorney to undertake a wide-ranging review and make recommendations regarding criminal investigations relating to the President's

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<sup>10</sup> American Oversight currently has approximately 15,400 page likes on Facebook and 102,400 followers on Twitter. American Oversight, FACEBOOK, <https://www.facebook.com/weareoversight/> (last visited March 17, 2020); American Oversight (@weareoversight), TWITTER, <https://twitter.com/weareoversight> (last visited March 17, 2020).

<sup>11</sup> *News*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/blog>.

<sup>12</sup> *DOJ Records Relating to Solicitor General Noel Francisco's Recusal*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/document/doj-civil-division-response-noel-francisco-compliance>; *Francisco & the Travel Ban: What We Learned from the DOJ Documents*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/francisco-the-travel-ban-what-we-learned-from-the-doj-documents>.

<sup>13</sup> *See generally Audit the Wall*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/investigation/audit-the-wall>; *see, e.g., Border Wall Investigation Report: No Plans, No Funding, No Timeline, No Wall*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/border-wall-investigation-report-no-plans-no-funding-no-timeline-no-wall>.

<sup>14</sup> *Documents Reveal Ben Carson Jr.'s Attempts to Use His Influence at HUD to Help His Business*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/documents-reveal-ben-carson-jr-s-attempts-to-use-his-influence-at-hud-to-help-his-business>.

<sup>15</sup> *Investigating the Trump Administration's Efforts to Sell Nuclear Technology to Saudi Arabia*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/investigating-the-trump-administrations-efforts-to-sell-nuclear-technology-to-saudi-arabia>.

political opponents and allegations of misconduct by the Department of Justice itself and the Federal Bureau of Investigation.<sup>16</sup>

Accordingly, American Oversight qualifies for a fee waiver.

### **Guidance Regarding the Search & Processing of Requested Records**

In connection with its request for records, American Oversight provides the following guidance regarding the scope of the records sought and the search and processing of records:

- Please search all locations and systems likely to have responsive records, regardless of format, medium, or physical characteristics.
- Our request for records includes any attachments to those records or other materials enclosed with those records when they were previously transmitted. To the extent that an email is responsive to our request, our request includes all prior messages sent or received in that email chain, as well as any attachments to the email.
- Please search all relevant records or systems containing records regarding agency business. Do not exclude records regarding agency business contained in files, email accounts, or devices in the personal custody of your officials, such as personal email accounts or text messages. Records of official business conducted using unofficial systems or stored outside of official files are subject to the Federal Records Act and FOIA.<sup>17</sup> It is not adequate to rely on policies and procedures that require officials to move such information to official systems within a certain period of time; American Oversight has a right to records contained in those files even if material has not yet been moved to official systems or if officials have, by intent or through negligence, failed to meet their obligations.<sup>18</sup>
- Please use all tools available to your agency to conduct a complete and efficient search for potentially responsive records. Agencies are subject to government-wide requirements to manage agency information electronically,<sup>19</sup> and many agencies

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<sup>16</sup> *Sessions' Letter Shows DOJ Acted on Trump's Authoritarian Demand to Investigate Clinton*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/sessions-letter>.

<sup>17</sup> *See Competitive Enter. Inst. v. Office of Sci. & Tech. Policy*, 827 F.3d 145, 149–50 (D.C. Cir. 2016); *cf. Judicial Watch, Inc. v. Kerry*, 844 F.3d 952, 955–56 (D.C. Cir. 2016).

<sup>18</sup> *See Competitive Enter. Inst. v. Office of Sci. & Tech. Policy*, No. 14-cv-765, slip op. at 8 (D.D.C. Dec. 12, 2016).

<sup>19</sup> Presidential Memorandum—Managing Government Records, 76 Fed. Reg. 75,423 (Nov. 28, 2011), <https://obamawhitehouse.archives.gov/the-press-office/2011/11/28/presidential-memorandum-managing-government-records>; Office of Mgmt. & Budget, Exec. Office of the President, Memorandum for the Heads of Executive

have adopted the National Archives and Records Administration (NARA) Capstone program, or similar policies. These systems provide options for searching emails and other electronic records in a manner that is reasonably likely to be more complete than just searching individual custodian files. For example, a custodian may have deleted a responsive email from his or her email program, but your agency's archiving tools may capture that email under Capstone. At the same time, custodian searches are still necessary; agencies may not have direct access to files stored in .PST files, outside of network drives, in paper format, or in personal email accounts.

- In the event some portions of the requested records are properly exempt from disclosure, please disclose any reasonably segregable non-exempt portions of the requested records. If a request is denied in whole, please state specifically why it is not reasonable to segregate portions of the record for release.
- Please take appropriate steps to ensure that records responsive to this request are not deleted by the agency before the completion of processing for this request. If records potentially responsive to this request are likely to be located on systems where they are subject to potential deletion, including on a scheduled basis, please take steps to prevent that deletion, including, as appropriate, by instituting a litigation hold on those records.

### **Conclusion**

If you have any questions regarding how to construe this request for records or believe that further discussions regarding search and processing would facilitate a more efficient production of records of interest to American Oversight, please do not hesitate to contact American Oversight to discuss this request. American Oversight welcomes an opportunity to discuss its request with you before you undertake your search or incur search or duplication costs. By working together at the outset, American Oversight and your agency can decrease the likelihood of costly and time-consuming litigation in the future.

Where possible, please provide responsive material in an electronic format by email. Alternatively, please provide responsive material in native format or in PDF format on a USB drive. Please send any responsive material being sent by mail to American Oversight, 1030 15th Street NW, Suite B255, Washington, DC 20005. If it will accelerate release of responsive records to American Oversight, please also provide responsive material on a rolling basis.

We share a common mission to promote transparency in government. American Oversight looks forward to working with your agency on this request. If you do not understand any part of this request, please contact Khahilia Shaw at [foia@americanoversight.org](mailto:foia@americanoversight.org) or

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Departments & Independent Agencies, "Managing Government Records Directive," M-12-18 (Aug. 24, 2012), <https://www.archives.gov/files/records-mgmt/m-12-18.pdf>.

(202) 539-6507. Also, if American Oversight's request for a fee waiver is not granted in full, please contact us immediately upon making such a determination.

Sincerely,

A handwritten signature in blue ink, appearing to read "Austin R. Evers". The signature is fluid and cursive, with a long horizontal stroke extending to the left.

Austin R. Evers  
Executive Director  
American Oversight