



April 20, 2020

VIA EMAIL

Ryan Law
FOIA and Transparency
Department of the Treasury
Washington, DC 20220
treasfoia@treasury.gov

Re: Freedom of Information Act Request

Dear FOIA Officer:

Pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, and the implementing regulations of your agency, American Oversight makes the following request for records.

The outbreak of the novel coronavirus, SARS-CoV-2, and the disease it causes, COVID-19, has been declared a public health emergency at both the national and international levels.¹ Since late 2019, the virus has spread to dozens of countries, sickened millions of thousands of people, and resulted in more than 100,000 deaths.² This is a rapidly evolving situation that is demanding coordinated attention and action across the federal government. Yet the Trump administration has so far failed to ensure timely availability of testing kits for healthcare providers,³ has prevented health experts from speaking about the growing crisis,⁴ and has removed information on the spread of the virus from public health resources.⁵ President Trump has consistently downplayed the threat of the virus to

¹ *Coronavirus Disease 2019 (COVID-19) Situation Summary*, CTRS. FOR DISEASE CONTROL & PREVENTION, <https://www.cdc.gov/coronavirus/2019-ncov/summary.html>.

² *Coronavirus Map: Tracking the Global Outbreak*, N.Y. TIMES (Apr. 13, 2020, 2:41 PM), <https://www.nytimes.com/interactive/2020/world/coronavirus-maps.html>.

³ Alice Park, *Responding to Coronavirus Testing Problems, U.S. Government Expands Number of Labs That Can Run Tests* (Mar. 2, 2020, updated 1:50 PM EST), TIME, <https://time.com/5793605/coronavirus-testing-united-states/>.

⁴ Michael Shear & Maggie Haberman, *Pence Will Control All Coronavirus Messaging from Health Officials*, N.Y. TIMES, Feb. 27, 2020, <https://www.nytimes.com/2020/02/27/us/politics/us-coronavirus-pence.html>.

⁵ Brianna Ehley, *Trump's Team Shifts Tone from Preventing Coronavirus to Containing It*, POLITICO (Mar. 2, 2020, 7:52 PM), <https://www.politico.com/news/2020/03/02/trump-coronavirus-pence-119051>.



public health,⁶ and recently expressly prioritized the economy over American lives.⁷ Secretary Mnuchin has taken a lead role for the administration in negotiations with Congress on a \$2 trillion stimulus package⁸—a process that has proven contentious and the subject of lobbying from non-governmental figures.⁹

American Oversight seeks to shed light on how outside entities and private interests have influenced the coronavirus stimulus package.

Requested Records

American Oversight requests that Treasury produce the following records within twenty business days:

All records reflecting communications (including emails, email attachments, text messages, messages on messaging platforms (such as Slack, GChat or Google Hangouts, Lync, Skype, or WhatsApp), telephone call logs, calendar invitations, calendar entries, meeting notices, meeting agendas, informational material, talking points, any handwritten or electronic notes taken during any oral communications, summaries of any oral communications, or other materials) of specified Treasury officials regarding any of the following issues or provisions of coronavirus stimulus legislation:

- President Trump’s businesses, including whether or not they could qualify for federal assistance—including but not limited to communications regarding the final provision prohibiting businesses controlled by the president, vice president, members of Congress, heads of executive departments and family members from receiving Treasury loans or investments as part of the package;

⁶ Alan Rappeport & Jim Tankersley, *As Stock Markets Plunge, Trump Calls for Economic Response to Coronavirus*, N.Y. TIMES, Mar. 9, 2020, <https://www.nytimes.com/2020/03/09/business/economy/trump-coronavirus-economic-fallout.html>.

⁷ Caitlin Oprysko & Quint Forgy, *‘Our Country Wasn’t Built to Be Shut Down’: Trump Pushes Back Against Health Experts*, POLITICO (Mar. 23, 2020 10:58 P.M.), <https://www.politico.com/news/2020/03/23/trump-coronavirus-lockdown-skepticism-143800>.

⁸ Jeff Stein, et al., *The Dealmaker’s Dealmaker: Mnuchin Steps In as Trump’s Negotiator, But President’s Doubts Linger with Economy in Crisis*, WASH. POST (Mar. 27, 2020, 1:20 PM), <https://www.washingtonpost.com/business/2020/03/27/trump-mnuchin-coronavirus-treasury/>.

⁹ Theodor Meyer, *Lobbyists Make a Mad Dash to Shape Coronavirus Stimulus Package*, POLITICO (Mar. 20, 2020, 12:37 AM), <https://www.politico.com/news/2020/03/20/lobbyists-coronavirus-stimulus-package-138519>.

- Any changes to Section 11012 of Public Law 115-97, including whether to amend Section 461(1)(2) or 461(1)(3) to change the determination of net operating loss carryovers or treatment of capital gains and losses, or any other communications regarding sections 2303 or 2304 of the CARES Act;
- Oversight mechanisms, including Section 4018 of Division A, establishing a Special Inspector General for Pandemic Recovery (SIGPR), and Section 15010(c)(3)(B), requiring the Chairperson of the Council of the Inspectors General on Integrity and Efficiency to consult with Congress regarding the selection of leadership for the Pandemic Response Accountability Committee.

Specified officials:

- a. Secretary Mnuchin
- b. Anyone serving in the role of Chief of Staff or Deputy Chief of Staff¹⁰
- c. Anyone serving as Executive or Deputy Executive Secretary
- d. Counselor to the Secretary Dan Kowalski
- e. Deputy Secretary Justin Muzinich
- f. Assistant Secretary for Tax Policy David Kautter
- g. Assistant Secretary for Legislative Affairs Brian McGuire

American Oversight has endeavored to provide as much information as possible with public reporting to identify the provisions about which we are requesting records. However, in light of the numerous ways officials may have discussed these issues and the difficulty of using search terms to identify responsive records, American Oversight believes that custodial inquiries may be necessary to supplement an electronic search for records.

Please note that American Oversight does not seek, and that this request specifically excludes, the initial mailing of news clips or other mass-distribution emails. However, subsequent communications forwarding such emails are responsive to this request. In other words, for example, if Secretary Mnuchin received a mass-distribution news clip email referencing a specified provision of the stimulus package, that initial email would not be responsive to this request. However, if Secretary Mnuchin forwarded that email to another individual with his

¹⁰ Public reporting as of April 2019 suggests that the Chief of Staff role remains vacant, and the duties are filled by two deputies, Zac McEntee and John Baylor. Jake Sherman, et al., *Politico Playbook: KJU Says U.S. Negotiating Style Doesn't Suit Them, and Where Trump is Going to Mark Tax Day*, POLITICO (Apr. 13, 2019, 10:16 AM), <https://www.politico.com/newsletters/playbook/2019/04/13/kju-says-us-negotiating-style-doesnt-suit-them-and-where-trump-is-going-to-mark-tax-day-424440>. American Oversight requests responsive records from anyone currently serving Chiefs or Deputy Chiefs of Staff.

own commentary, that subsequent message would be responsive to this request and should be produced.

Please provide all responsive records from February 20, 2020, through March 31, 2020.

Fee Waiver Request

In accordance with 5 U.S.C. § 552(a)(4)(A)(iii) and your agency's regulations, American Oversight requests a waiver of fees associated with processing this request for records. The subject of this request concerns the operations of the federal government, and the disclosures will likely contribute to a better understanding of relevant government procedures by the general public in a significant way. Moreover, the request is primarily and fundamentally for non-commercial purposes.

American Oversight requests a waiver of fees because disclosure of the requested information is "in the public interest because it is likely to contribute significantly to public understanding of operations or activities of the government."¹¹ The public has a significant interest in negotiations for trillions of dollars in coronavirus stimulus funding. Records with the potential to shed light on this matter would contribute significantly to public understanding of operations of the federal government, including how taxpayer dollars will be spent to aid economic recovery and pandemic response. American Oversight is committed to transparency and makes the responses agencies provide to FOIA requests publicly available, and the public's understanding of the government's activities would be enhanced through American Oversight's analysis and publication of these records.

This request is primarily and fundamentally for non-commercial purposes.¹² As a 501(c)(3) nonprofit, American Oversight does not have a commercial purpose and the release of the information requested is not in American Oversight's financial interest. American Oversight's mission is to promote transparency in government, to educate the public about government activities, and to ensure the accountability of government officials. American Oversight uses the information gathered, and its analysis of it, to educate the public through reports, press releases, or other media. American Oversight also makes materials it gathers available on its public website and promotes their availability on social media platforms, such as Facebook and Twitter.¹³

¹¹ 5 U.S.C. § 552(a)(4)(A)(iii).

¹² *Id.*

¹³ American Oversight currently has approximately 15,400 page likes on Facebook and 102,400 followers on Twitter. American Oversight, FACEBOOK, <https://www.facebook.com/weareoversight/> (last visited Mar. 17, 2020); American Oversight (@weareoversight), TWITTER, <https://twitter.com/weareoversight> (last visited Mar. 17, 2020).

American Oversight has also demonstrated its commitment to the public disclosure of documents and creation of editorial content through numerous substantive analyses posted to its website.¹⁴ Examples reflecting this commitment to the public disclosure of documents and the creation of editorial content include the posting of records related to an ethics waiver received by a senior Department of Justice attorney and an analysis of what those records demonstrated regarding the Department's process for issuing such waivers;¹⁵ posting records received as part of American Oversight's "Audit the Wall" project to gather and analyze information related to the administration's proposed construction of a barrier along the U.S.-Mexico border, and analyses of what those records reveal;¹⁶ posting records regarding potential self-dealing at the Department of Housing & Urban Development and related analysis;¹⁷ posting records and analysis relating to the federal government's efforts to sell nuclear technology to Saudi Arabia;¹⁸ and posting records and analysis regarding the Department of Justice's decision in response to demands from Congress to direct a U.S. Attorney to undertake a wide-ranging review and make recommendations regarding criminal investigations relating to the President's political opponents and allegations of misconduct by the Department of Justice itself and the Federal Bureau of Investigation.¹⁹

Accordingly, American Oversight qualifies for a fee waiver.

Notwithstanding its fee waiver request, pursuant to 31 C.F.R. § 1.7(e), American Oversight hereby states that it is willing to pay fees in an amount not more than \$25.00.

¹⁴ *News*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/blog>.

¹⁵ *DOJ Records Relating to Solicitor General Noel Francisco's Recusal*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/document/doj-civil-division-response-noel-francisco-compliance>; *Francisco & the Travel Ban: What We Learned from the DOJ Documents*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/francisco-the-travel-ban-what-we-learned-from-the-doj-documents>.

¹⁶ *See generally Audit the Wall*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/investigation/audit-the-wall>; *see, e.g., Border Wall Investigation Report: No Plans, No Funding, No Timeline, No Wall*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/border-wall-investigation-report-no-plans-no-funding-no-timeline-no-wall>.

¹⁷ *Documents Reveal Ben Carson Jr.'s Attempts to Use His Influence at HUD to Help His Business*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/documents-reveal-ben-carson-jr-s-attempts-to-use-his-influence-at-hud-to-help-his-business>.

¹⁸ *Investigating the Trump Administration's Efforts to Sell Nuclear Technology to Saudi Arabia*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/investigating-the-trump-administrations-efforts-to-sell-nuclear-technology-to-saudi-arabia>.

¹⁹ *Sessions' Letter Shows DOJ Acted on Trump's Authoritarian Demand to Investigate Clinton*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/sessions-letter>.

Guidance Regarding the Search & Processing of Requested Records

In connection with its request for records, American Oversight provides the following guidance regarding the scope of the records sought and the search and processing of records:

- Please search all locations and systems likely to have responsive records, regardless of format, medium, or physical characteristics. For instance, if the request seeks “communications,” please search all locations likely to contain communications, including relevant hard-copy files, correspondence files, appropriate locations on hard drives and shared drives, emails, text messages or other direct messaging systems (such as iMessage, WhatsApp, Signal, or Twitter direct messages), voicemail messages, instant messaging systems such as Lync or ICQ, and shared messages systems such as Slack.
- In conducting your search, please understand the terms “record,” “document,” and “information” in their broadest sense, to include any written, typed, recorded, graphic, printed, or audio material of any kind. We seek records of any kind, including electronic records, audiotapes, videotapes, and photographs, as well as letters, emails, facsimiles, telephone messages, voice mail messages and transcripts, notes, or minutes of any meetings, telephone conversations or discussions.
- Our request for records includes any attachments to those records or other materials enclosed with those records when they were previously transmitted. To the extent that an email is responsive to our request, our request includes all prior messages sent or received in that email chain, as well as any attachments to the email.
- Please search all relevant records or systems containing records regarding agency business. Do not exclude records regarding agency business contained in files, email accounts, or devices in the personal custody of your officials, such as personal email accounts or text messages. Records of official business conducted using unofficial systems or stored outside of official files are subject to the Federal Records Act and FOIA.²⁰ It is not adequate to rely on policies and procedures that require officials to move such information to official systems within a certain period of time; American Oversight has a right to records contained in those files

²⁰ See *Competitive Enter. Inst. v. Office of Sci. & Tech. Policy*, 827 F.3d 145, 149–50 (D.C. Cir. 2016); cf. *Judicial Watch, Inc. v. Kerry*, 844 F.3d 952, 955–56 (D.C. Cir. 2016).

even if material has not yet been moved to official systems or if officials have, by intent or through negligence, failed to meet their obligations.²¹

- Please use all tools available to your agency to conduct a complete and efficient search for potentially responsive records. Agencies are subject to government-wide requirements to manage agency information electronically,²² and many agencies have adopted the National Archives and Records Administration (NARA) Capstone program, or similar policies. These systems provide options for searching emails and other electronic records in a manner that is reasonably likely to be more complete than just searching individual custodian files. For example, a custodian may have deleted a responsive email from his or her email program, but your agency’s archiving tools may capture that email under Capstone. At the same time, custodian searches are still necessary; agencies may not have direct access to files stored in .PST files, outside of network drives, in paper format, or in personal email accounts.
- In the event some portions of the requested records are properly exempt from disclosure, please disclose any reasonably segregable non-exempt portions of the requested records. If a request is denied in whole, please state specifically why it is not reasonable to segregate portions of the record for release.
- Please take appropriate steps to ensure that records responsive to this request are not deleted by the agency before the completion of processing for this request. If records potentially responsive to this request are likely to be located on systems where they are subject to potential deletion, including on a scheduled basis, please take steps to prevent that deletion, including, as appropriate, by instituting a litigation hold on those records.

Conclusion

If you have any questions regarding how to construe this request for records or believe that further discussions regarding search and processing would facilitate a more efficient production of records of interest to American Oversight, please do not hesitate to contact American Oversight to discuss this request. American Oversight welcomes an opportunity to discuss its request with you before you undertake your search or incur search or

²¹ See *Competitive Enter. Inst. v. Office of Sci. & Tech. Policy*, No. 14-cv-765, slip op. at 8 (D.D.C. Dec. 12, 2016).

²² Presidential Memorandum—Managing Government Records, 76 Fed. Reg. 75,423 (Nov. 28, 2011), <https://obamawhitehouse.archives.gov/the-press-office/2011/11/28/presidential-memorandum-managing-government-records>; Office of Mgmt. & Budget, Exec. Office of the President, Memorandum for the Heads of Executive Departments & Independent Agencies, “Managing Government Records Directive,” M-12-18 (Aug. 24, 2012), <https://www.archives.gov/files/records-mgmt/m-12-18.pdf>.

duplication costs. By working together at the outset, American Oversight and your agency can decrease the likelihood of costly and time-consuming litigation in the future.

Where possible, please provide responsive material in an electronic format by email. Alternatively, please provide responsive material in native format or in PDF format on a USB drive. Please send any responsive material being sent by mail to American Oversight, 1030 15th Street NW, Suite B255, Washington, DC 20005. If it will accelerate release of responsive records to American Oversight, please also provide responsive material on a rolling basis.

We share a common mission to promote transparency in government. American Oversight looks forward to working with your agency on this request. If you do not understand any part of this request, please contact Christine Monahan at foia@americanoversight.org or 202.869.5244. Also, if American Oversight's request for a fee waiver is not granted in full, please contact us immediately upon making such a determination.

Sincerely,

A handwritten signature in blue ink, appearing to read "Austin R. Evers", with a long horizontal flourish extending to the left.

Austin R. Evers
Executive Director
American Oversight